

HW ENTITIES TOTAL ASSETS FROZEN AND/OR RECOVERED

Source	Amount (\$)	Total Allocation	Sub-Allocation
<u>HW Entities</u>			
HW Trading Accounts	\$ 4,858,422.66		76.8%
HW Physical Metals	\$ 1,470,041.92		23.2%
<i>Subtotal</i>	\$ 6,328,464.58	82.42%	
HW Banks-Cash On Hand	\$ 646,677.43	8.42%	
<i>Subtotal</i>	\$ 646,677.43		
Recoveries from affiliates of HW Entities and Owners ^	\$ 702,980.00	9.16%	
<i>Subtotal</i>	\$ 702,980.00		
Other	\$ 246.95	0.00%	
<i>Subtotal</i>	\$ 246.95		
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Total Assets Frozen and/or Recovered	\$ 7,678,368.96	100.00%	

^ This includes sums received from HWIC Cayman, but not the total amount the Monitorship is entitled to and which is in dispute.



OTHER ENTITY DEFENDANTS AND INDIVIDUAL DEFENDANTS
TOTAL ASSETS RECOVERED OR FROZEN

Source	Amount (\$)	Total Allocation	Sub-Allocation
Individual Defendants			
John King	\$ 18,768.14	N/A	40.32%
Fred Jager	\$ 15,000.00		32.23%
Frank Gaudino	\$ 11,696.42		25.13%
David Moore	\$ 475.19		1.02%
Ed Martin	\$ 541.05		1.16%
James Burbage	\$ 62.83		0.13%
Chadwick Hopkins	\$ -		0.00%
Baris Keser	\$ -		0.00%
<i>Subtotal</i>	\$ 46,543.63	10.45%	100.00%
Lloyd Entities			
	\$ 3,632.20		
<i>Subtotal</i>	\$ 3,632.20	100.00%	100.00%
Dealer Entities			
US Capital	\$ 364,666.85	N/A	92.31%
Blackstone	\$ 26,267.86		6.65%
Newbridge	\$ 4,093.69		1.04%
Hard Assets	\$ -		0.00%
C.D. Hokins	\$ -		0.00%
<i>Subtotal</i>	\$ 395,028.40		88.73%
Total Assets Frozen and/or Recovered			
	\$ 445,204.23	100.00%	

TOTAL ASSETS FROZEN OR RECOVERED (ALL DEFENDANTS)

Source	Amount (\$)	Total Allocation
<i>HW Entities</i>	\$ 7,678,368.96	94.52%
<i>Lloyd Entities</i>	\$ 3,632.20	0.04%
<i>Dealer Entities</i>	\$ 395,028.40	4.86%
<i>Individual Defendants</i>	\$ 46,543.63	0.57%
Total Assets Frozen and/or Recovered	\$ 8,123,573.19	100.00%

**CFTC v. HUNTER WISE COMMODITIES, LLC, et al.
FROZEN AND RECOVERED ACCOUNTS**

Defendant/Related Entity	Bank/Supplier	Amount	Status/Explanation
Hunter Wise Commodities, LLC	First Foundation Bank Account No. [REDACTED] City National Bank Account No. [REDACTED]	\$264,528.73 \$0	Frozen (Funds wired to Special Monitor's account) Closed
Hunter Wise Services, LLC	First Foundation Bank Account No. [REDACTED] City National Bank Account No. [REDACTED]	\$2,598.47 \$0	Frozen (Funds wired to Special Monitor's account) Closed
Hunter Wise Credit, LLC	First Foundation Bank Account No. [REDACTED] First Foundation Bank Account No. [REDACTED]	\$160,405.60 \$215,423.75	Frozen (Funds wired to Special Monitor's account) Frozen (Funds wired to Special Monitor's account)
Hunter Wise Trading, LLC	First Foundation Bank Account No. [REDACTED]	\$3,720.88	Frozen (Funds wired to Special Monitor's account)

**CFTC v. HUNTER WISE COMMODITIES, LLC, et al.
FROZEN AND RECOVERED ACCOUNTS**

Defendant/Related Entity	Bank/Supplier	Amount	Status/Explanation
Hunter Wise International Commodities, LLP	HSBC Bank (Cayman) Limited Account No. [REDACTED] Account No. [REDACTED]	\$517,980.00	<i>(Funds wired to Special Monitor's account)</i> Monitor has not received response
Hunter Wise International Credit, LLP	HSBC Bank (Cayman) Limited Account No. [REDACTED]	\$ ___ ?	Monitor has not received response
Hunter Wise International Trading, Ltd.	HSBC Bank (Cayman) Limited Account No. [REDACTED]	\$ ___ ?	Monitor has not received response
Hunter Wise Holdings, LLC	First Foundation Bank Account No. [REDACTED] LaSalle St. Securities, LLC Account No. [REDACTED]	\$35,000.00	Frozen and then unfrozen per Agreed Order
Hunter Wise Financial Group, LLC	First Foundation Bank Account No. [REDACTED]	\$150,000.00	Frozen and then unfrozen per Agreed Order
Lloyds Commodities Credit Company, LLC			
Lloyds Services, LLC			

**CFTC v. HUNTER WISE COMMODITIES, LLC, et al.
FROZEN AND RECOVERED ACCOUNTS**

Defendant/Related Entity	Bank/Supplier	Amount	Status/Explanation
C.D. Hopkins Financial, LLC (d/b/a/ C.D. Hopkins Metals Division)	Citibank International Account No. [REDACTED]		Monitor has not received response
	Account No. [REDACTED]		
	Account No. [REDACTED]		
	Citibank International Account No. [REDACTED]		Monitor has not received response
Blackstone Metals Group, LLC	SunTrust Bank		Checks with account balances deposited by Special Monitor
	Account No. [REDACTED]	\$24,731.97	Frozen
	Account No. [REDACTED]	\$588.04	Frozen
	Account No. [REDACTED]	\$947.85	Frozen
	Account No. [REDACTED]	\$0	Closed
	Account No. [REDACTED]	\$0	Closed

**CFTC v. HUNTER WISE COMMODITIES, LLC, et al.
FROZEN AND RECOVERED ACCOUNTS**

Defendant/Related Entity	Bank/Supplier	Amount	Status/Explanation
United States Capital Trust, LLC	J.P. Morgan Chase Account no. [REDACTED]	\$0	Frozen
	Bank of America Account No. [REDACTED]	\$2,698.22	Frozen
	Account no. [REDACTED]	\$6.05	Frozen
	Account no. [REDACTED]	\$30.40	Frozen
	Account no. [REDACTED]	\$10.00	Frozen
	Account no. [REDACTED]	\$1,349.02	Frozen
	Bank of America Account No. [REDACTED]	\$6.05	Check no. 0452 Deposited into Special Monitors's account
	Account No. [REDACTED]	\$0	
	Account No. [REDACTED]	\$0	
	Account No. [REDACTED]	\$0	
Account No. [REDACTED]	\$0		
"Capital Trust Investments, Inc." Account No. [REDACTED] Account No. [REDACTED]	Account No. [REDACTED]	\$25.00	
	Account No. [REDACTED]	\$25.00	
	Account No. [REDACTED]		

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 FROZEN AND RECOVERED ACCOUNTS**

Defendant/Related Entity	Bank/Supplier	Amount	Status/Explanation
Harold Edward Martin, Jr.	Bank of America Account No. ending [REDACTED] (joint with Diane Martin)	\$205.94	Frozen
	Account No. ending [REDACTED] (joint with Jose E. Martin)	\$47.48	Frozen
	Account No. ending [REDACTED] (joint with Josh E. Martin)	\$16.67	Frozen
	Account No. ending [REDACTED]	\$0	Frozen
	Account No. ending [REDACTED]	\$76.01	Frozen
	Account No. ending [REDACTED] ("Ed Martin Sole Prop DBA Ed Martin")	\$131.40	Frozen
	Account No. ending [REDACTED] (Joint with Lisa L. Nguyen)	\$63.55	Frozen
Fred Jager	First Foundation Bank Account No. [REDACTED] (Joint with Marcia J. Jager)	\$15,000	Frozen and then unfrozen pursuant to Agreed Order
	TD Ameritrade Account no. [REDACTED]		

**CFTC v. HUNTER WISE COMMODITIES, LLC, et al.
FROZEN AND RECOVERED ACCOUNTS**

Defendant/Related Entity	Bank/Supplier	Amount	Status/Explanation
James Burbage	Citibank N.A. Account no. [REDACTED]	\$62.83	Frozen
	Account no. [REDACTED]	\$0	Frozen
	SunTrust Bank Account no. [REDACTED]	\$0	Closed
	Wells Fargo Account no. [REDACTED]	\$0	Closed
Frank Gaudino	Chase Bank Account No. [REDACTED]	\$11,696.42 (\$11,871.42 previously reported)	Frozen
	Account No. [REDACTED]	\$175.00	Frozen
	SunTrust Bank Account No. [REDACTED]	\$0	Closed
	Bank of America Account No. [REDACTED] (Joint with Umberto Gaudino)	\$301.15	Frozen
	Account No. ending [REDACTED]	\$249.58	Frozen

**CFTC v. HUNTER WISE COMMODITIES, LLC, et al.
FROZEN AND RECOVERED ACCOUNTS**

Defendant/Related Entity	Bank/Supplier	Amount	Status/Explanation
Baris Keser	J.P. Morgan Chase Bank Account No. [REDACTED] SunTrust Bank Account No. ending [REDACTED]	\$0 \$ ____ (balance not listed)	Frozen Frozen
Chadewick Hopkins			
John King	J.P. Morgan Chase Bank Account No. [REDACTED] Account No. [REDACTED] Account No. [REDACTED] First Choice Credit Union	\$17,034.07 \$1,634.07 \$100.00	Frozen Frozen Frozen
David A. Moore	_____ ? J.P. Morgan Chase Bank Account No. [REDACTED] Bank of America Account No. [REDACTED] Wells Fargo Bank, N.A. Account no. [REDACTED]	\$234.00 \$240.79 \$0.40	Frozen Frozen Frozen
Lloyds Asset Management, LLC			

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FROZEN AND RECOVERED ACCOUNTS**

Defendant/Related Entity	Bank/Supplier	Amount	Status/Explanation
Xcel Financial Corp	Wells Fargo Bank, N.A. Account no. [REDACTED] Account no. [REDACTED] Citibank International Account no. [REDACTED] Account no. [REDACTED]		Monitor has not received response Monitor has not received response
Gaudino Financial	SunTrust Bank Account No. ending [REDACTED]		Monitor has not received response
South Peak Texas Investments, Inc.	LaSalle St. Securities, LLC Account no. [REDACTED] Syndicated Capital, Inc. Account no. [REDACTED] TD Ameritrade Account no. [REDACTED]		Monitor has not received response Monitor has not received response Monitor has not received response
Hunter Wise Commodities, LLC	NTR Bullion Group	\$197,502.00	Frozen
Hunter Wise Commodities, LLC	R. J. O'Brien & Associates	\$449,557.20	Frozen
Hunter Wise Commodities, LLC	Fidelitrade Delaware Depository	-\$66,536.19 - Various metals	Frozen Frozen

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FROZEN AND RECOVERED ACCOUNTS**

Defendant/Related Entity	Bank/Supplier	Amount	Status/Explanation
Hunter Wise Commodities, LLC	Baird & Associates	1 - silver bar weighing 30,232.60 grams (972.0 troy oz.)	Frozen
Hunter Wise Commodities, LLC	Standard Bank Account no. [REDACTED]	\$4,144,827.27	Frozen
Hunter Wise Commodities, LLC	Dillon Gage	\$0	Frozen
Hunter Wise Commodities, LLC	A-Mark Precious Metals, Inc	\$0	No accounts, funds or assets
Hunter Wise Commodities, LLC	IDS of Canada	1 - silver bar weighing 1,000 oz.	Frozen
Hunter Wise Commodities, LLC	Diamond State Depository, LLC	\$0	Frozen
Hunter Wise International Commodities, Ltd.			
United States Capital Trust, LLC d/b/a Big Sky Trading	Amerifirst Management	\$353,000 (approx.)	Frozen
Hunter Wise International Trading, Ltd. (UK – subsidiary of HWC)		7 – 1 Kilo gold bars	Frozen